





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USpTo.gov

Rih Data Shoot

CONFIRMATION NO. 8885

Bib Data Sheet									
SERIAL NUME 09/837,510	1 04/10/2001 1		(CLASS 705		GROUP ART UNIT 2165		ATTORNEY DOCKET NO. 3001 P 013	
APPLICANTS									
Kenneth K		New York, NY; r, Chicago, IL;							
THIS APPLICATION IS A CON OF 09/579,801 05/26/2000 WHICH IS A CON OF 09/140,868 08/27/1998 PAT 6,088,685 WHICH IS A CON OF 08/542,431 10/12/1995 PAT 5,806,048 **FOREIGN APPLICATIONS ************************************									
IF REQUIRED, FOREIGN FILING LICENSE GRANTED SMALL ENTITY ** ** 06/22/2001									
Foreign Priority claimed yes ho									
35 USC 119 (a-d) conditions Uses 0 Met after SHATE UK SHA						IEETS TOTA			INDEPENDENT CLAIMS
Thet Allowande NY						3 69			6
Acknowledged Examiner's SignatureJ Initials									
ADDRESS									
Edward L. Bishop									
Wallenstein & Wagner, Ltd.									
53rd Floor									
311 South Wacker Drive									
Chicago ,IL 60606-6630									
TITLE									
Open end mutual fund securitization process									
						☐ All Fees			
						☐ 1.16 Fees (Filing)			
	FEES: Authority has been given in Paper					1.17 Fees (Processing Ext. of time)			
RECEIVED 916	Noto charge/credit DEPOSIT ACCOUNT Nofor following:				1.18 Fees (Issue)				
!					Other				
						☐ Credit			